



Tailored Financial Solutions for the Legal Community

| | | |
|--------------------------|-------|-----------------------|
| LAW FIRM AND/OR ATTORNEY | | AMOUNT REQUESTED (\$) |
| ADDRESS | | EIN / TAX I.D. # |
| TELEPHONE # | FAX # | EMAIL |

In order to preserve the confidentiality of the information requested, provide us with:

| | | |
|--|--|-------|
| CONTACT PERSON | PHONE # | EMAIL |
| TYPE OF ENTITY | NUMBER OF PARTNERS, OFFICERS OR MEMBERS | |
| IN PRACTICE FOR (YEARS) | IN THE FOLLOWING PRACTICE AREAS | |
| CURRENT NUMBER OF OPEN CASES | APPROXIMATE NUMBER OF CASE DISPOSITIONS PER YEAR | |
| WHAT IS YOUR FEE (%) UNDER YOUR STANDARD RETAINER? | IF APPLICABLE, WHAT IS YOUR FEE (%) FOR OF COUNSEL REPRESENTATION? | |

WHAT PERCENTAGE OF OPEN CASES IS OF COUNSEL?

ATTACH A LIST OF OUTSTANDING LINES OF CREDIT, CURRENT BALANCES AND WITH WHOM

ATTACH A LIST OF ALL OTHER LAW FIRM GUARANTEES; OR PERSONAL GUARANTEES RELATED TO THE PRACTICE

Are there other credit obligations, including credit cards, which in the aggregate exceed \$15,000? YES NO

Has the law firm or its principals ever declared bankruptcy? YES NO

If so please explain: _____

Do you or any of the members of your firm have any disciplinary issues that we should know about? YES NO

If so please explain: _____

Do you or any of the members of your firm have any unsatisfied tax liens or other judgments? YES NO

If so please explain: _____

Are you or any of the members of your firm currently involved as a defendant in any pending lawsuits? YES NO

If so please explain: _____

As part of the application process, Esquire shall require credit and financial information on the law firm and its principals in order to complete an underwriting. In furtherance of this, please provide the following:

1. Executed authorization from the law firm to Esquire to perform a credit search with credit agencies (Form One).
2. Executed authorization from each principal to Esquire to perform a credit search with credit agencies (Form Two).
3. Law firm tax returns for 3 years.
4. Personal tax returns of the principals for a period of 3 years.
5. Financial statement for the law firm prepared by the law firm's independent accountant. If the law firm does not utilize an independent accountant, please provide un-audited, internal financial statements.
6. Provisions of entity documents and agreements regarding percentage of ownership and firm breakup or dissolution.
7. Certificate of good standing from your state for the law firm and each principal.
8. Redacted list of current cases.
9. Firm literature, if any.

We do not require personal financial statements for the initial application process.

As part of the final underwriting of this loan, we may require life insurance protection. This may be in the form of key man insurance newly purchased or existing policies held by the law firm.

If your application for business credit is denied, you have the right to a written statement with specific reasons for the denial. At the time of such denial, Esquire shall provide you with the name, address, and phone # of the agency which provided their information. You may contact such agency within 60 days from the date you are notified of our decision.

FIRM NAME

PRINCIPAL NAME

SIGNATURE

DATE